

Vertical Industry Profile

Financial Institutions

We provide comprehensive worldwide insurance for financial institutions including specialized products to insure the unique insurance and risk management needs of this segment.

Our products are available to any company whose head office is in Canada and is engaged in financial services. The following are examples of clients who would benefit:

- Banks, trust companies, finance organizations
- Investment portfolio counselors, mutual fund and hedge fund companies/managers
- Insurance companies – Property & Casualty, Life, Specialty
- Insurance agents and brokers
- Security broker/mutual fund dealers
- Mortgage brokers, mortgage bankers
- Venture capital, private equity managers
- Partnerships, real estate investment trusts, and joint ventures
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The following coverages are available on a monoline basis or in combination with one or more of the following: (All coverages are available in Canadian or U.S. dollars)

D&O / Partnerships

- Publicly-traded and Private wording and applications available
- Employment Practices Coverage built into forms
- Crisis fund coverage available up to \$50,000 on publicly-traded D&O policies
- D&O coverage available on same policy wording for Investment Counselors, Mutual and Hedge Fund companies, and Venture Capital/Private Equity organizations

Professional Liability (E&O)

- Banker's Professional Liability – menu driven coverage and application
- Automatic ODG coverage on the Venture Capital form – no Non-Stacking Exclusion!
- Insurance Agents and Brokers – coverage for Managing General Agents available, Defense Costs Outside Limits available

Financial Institution Bond

- Form 14 – Investment Managers, Security Broker/Dealers
- Form 24 – Banks, Trust Companies
- Form 25 – Insurance Companies

Employment Practices Liability Policy

- Comprehensive coverage
- Shared limits available with other Financial Institution products

Other Important Coverages Available

(on a monoline or in combination with other available coverages)

- Fiduciary Liability
- Computer Crime Policy
- NetAdvantage (Media Liability, Internet Liability)

Marketing Features

Responsive team approach including Chartis account coordinator

Underwriting team members experienced in financial institutions

Worldwide coverage and claims service

Submission Requirements:

- Detailed written submission
- Most recent financial statements
- Completed applications
- Loss History
- These organizations require additional information:

Investment Councilors / Mutual Fund and Hedge Fund Companies/Venture Capital:

- Prospectus, Offering Memorandums and Annual Report for each fund
- Copy of Brochure and sample contract offered to clients
- Information indicating overall historical portfolio performance including comparative results to TSE or similar indices

Partnerships:

- Partnership Agreement
- Organizational Chart

Central & Western Canada

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Eastern Canada

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Chartis is a world leading property-casualty and general insurance organization serving more than 40 million clients in over 160 countries and jurisdictions. With a 90-year history, one of the industry's most extensive ranges of products and services, deep claims expertise and excellent financial strength, Chartis enables its commercial and personal insurance clients alike to manage virtually any risk with confidence.

Insurance underwritten by member companies of Chartis. The description herein is a summary only. It does not include all terms, conditions and exclusions of the policies described. Please refer to actual policies for complete details of coverage and exclusions. Insurance may not be available in all jurisdictions.